



FEDERAL FUNDS

DEPARTMENT OF HUMAN SERVICES

SOCIAL SERVICES APPROPRIATIONS SUBCOMMITTEE
STAFF: CLARE TOBIN LENCE

ISSUE BRIEF

SUMMARY

Utah Code Annotated (UCA) 63J-5-201 states, “the Legislative Fiscal Analyst shall submit a federal funds request summary for each agency to the legislative appropriations subcommittee responsible for that agency’s budget for review during each annual general session.” Each subcommittee determines whether or not to recommend that “the agency accept the federal funds or participate in the federal program for the fiscal year under consideration.” The Executive Appropriations Committee then makes their determination and directs the Office of the Legislative Fiscal Analyst to include approved federal funds in an appropriations act.

The Department of Human Services (DHS) has requested an increase in federal funds of \$12,836,100 for FY 2018 and total federal funds of \$141,873,000 for FY 2019. Not included here for review and specific authorization are federal Medicaid funds shown in the DHS budget as Transfers. These funds are exempt from this specific review process as specified in UCA 63J-5-103(2)(a). For DHS, federal Medicaid funds are first received by the Department of Health, the designated state agency for the Medicaid program, and subsequently transferred to DHS. The DHS FY 2019 budget request includes \$238,061,000 in transfers related to Medicaid. Of this amount, \$228,070,600 -- or 95.8 percent -- is in the Division of Services for People with Disabilities.

This brief includes: 1) Federal Reserves Over Three Months for FY 2019 (Appendix A, page 9-11); 2) List of Federal Block Grant Uses, Historical Block Grant Uses Since FY 2012, and How Block Grant Funding is Approved (Appendix B, pages 12-17); 3) Brief Description of Federal Funds (Appendix C, pages 18-22); and 4) Interim Approval Process for Federal Grants (Appendix D, page 22).

LEGISLATIVE ACTION

1. The Office of the Legislative Fiscal Analyst recommends the subcommittee consider whether to authorize the Department of Human Services to accept the federal funds shown in tables 3 through 13 (pages 3-8).

Subcommittee Options for Federal Grants

Per UCA 63J-5-201, the subcommittee has the following three options:

1. Recommend that the agency accept the federal funds or participate in the federal program for the fiscal year under consideration.
2. Recommend that the agency not accept the federal funds or participate in the federal program for the fiscal year under consideration.
3. Direct the agency to accept some, but not all of the federal funds listed below.

FY 2018 SUPPLEMENTAL REQUEST OVERVIEW

The department is requesting increased federal grant authorization of \$12,836,100 for FY 2018, over the \$131,793,200 that was approved during the 2017 General Session. This is an increase of 9.7 percent in federal funds. The amount is comprised of:

1. Executive Director Operations: \$503,900
2. Division of Substance Abuse and Mental Health: \$9,976,400
3. Office of Recovery Services: \$1,418,500
4. Division of Child and Family Services: \$937,300

When combined with estimated Medicaid transfers of \$245,614,200, total federal funds would be 50.1 percent of the DHS FY 2018 budget.

Grant Title	CFDA Number	ARRA mark "X"	Federal Annual Award	Annual Match Requirement								\$ of grant passed through	% Pass-Through	New Permanent Staff	
				Matching State Funds							Local/Other				
				General Fund	Dedicated Credits	Restricted Funds	Other Funds	In Kind	Maint. Of Effort	Total State Match					
HUMAN SERVICES TOTALS State FY 2017				\$12,836,100	\$1,008,200	\$0	\$0	\$0	\$40,300	\$56,926,700	\$57,975,200	\$150,400	\$8,815,278	69%	0

Table 1

FY 2019 REQUEST OVERVIEW

The department is requesting federal grant authorization totaling \$141,873,000 for FY 2019. This amount is \$10,079,800 over the \$131,793,200 that was approved during the 2017 General Session for FY 2018, an increase of 7.6 percent in federal funds. Some of the grants shown here are exempt from this authorization process, as specified in UCA 63J-5-103(2). The amount is comprised of:

1. Executive Director Operations: \$8,685,200
2. Division of Substance Abuse and Mental Health: \$32,472,900
3. Office of Public Guardian: \$40,000
4. Division of Services for People with Disabilities: \$1,577,000
5. Office of Recovery Services: \$25,133,100
6. Division of Child and Family Services: \$62,238,100
7. Division of Aging and Adult Services: \$11,726,700

When combined with budgeted Medicaid transfers of \$238,061,000, total federal funds would be 49.9 percent of the DHS FY 2019 budget.

Grant Title	CFDA Number	ARRA mark "X"	Federal Annual Award	Annual Match Requirement								\$ of grant passed through	% Pass-Through	New Permanent Staff	
				Matching State Funds							Local/Other				
				General Fund	Dedicated Credits	Restricted Funds	Other Funds	In Kind	Maint. Of Effort	Total State Match					
HUMAN SERVICES TOTALS															
State FY 2018				\$141,873,000	\$37,521,300	\$9,681,500	\$0	\$200,000	\$50,400	\$57,630,560	\$105,083,760	\$1,085,030	\$40,559,837	29%	0

Table 2

EXECUTIVE DIRECTOR OPERATIONS

The request for FY 2018 is a 6.6 percent increase over the amount initially appropriated for FY 2018. The request for FY 2019 is a 13.9 percent increase over the amount initially appropriated for FY 2018. There are no new grants reflected in Tables 3 and 4 that were not previously authorized by the Legislature during the 2017 General Session. One grant is one-time and will end in FY 2019: Strategic Prevention Framework - Partnerships for Success II.

Grant Title	Federal Annual Award	Annual Match Requirement							% Pass-Through	New Permanent Staff	Notes/In Kind Source/Fed Requirements
		Matching State Funds						Local/Other			
		General Fund	Dedicated Credits	Other Funds	In Kind	Maint. Of Effort	Total State Match				
Executive Director's Office											
Title XX Social Services Block Grant	\$328,800	\$0	\$0	\$0	\$0	\$0	\$0	\$0	0%	0.00	
Title IV-E AFDC Adoption Assistance	\$6,000	\$6,000	\$0	\$0	\$0	\$0	\$6,000	\$0	0%	0.00	
Local Discretionary Social Security Block Grant	\$26,500	\$0	\$0	\$0	\$0	\$0	\$0	\$0	100%	0.00	
Substance Abuse Prevention & Treatment	\$900	\$0	\$0	\$0	\$0	\$0	\$0	\$0	0%	0.00	
Cooperative Agreement to Benefit Homeless Individuals (CABHI)	\$2,600	\$0	\$0	\$0	\$0	\$0	\$0	\$0	0%	0.00	
Strategic Prevention Framework - Partnerships for Success II (SPF-PFS II)	\$1,700	\$0	\$0	\$0	\$0	\$0	\$0	\$0	0%	0.00	
UT Transition Youth Empowered to Succeed	\$137,400	\$0	\$0	\$0	\$0	\$0	\$0	\$0	0%	0.00	
Division/Program Totals	\$503,900	\$6,000	\$0	\$0	\$0	\$0	\$6,000	\$0	5%	0.00	

Table 3

Grant Title	Federal Annual Award	Annual Match Requirement							% Pass-Through	New Staff	Notes/In Kind Source/Fed Requirements
		Matching State Funds						Local/Other			
		General Fund	Dedicated Credits	Other Funds	In Kind	Maint. Of Effort	Total State Match				
Executive Director's Office											
Title XX Social Services Block Grant	\$1,742,100	\$0	\$0	\$0	\$0	\$0	\$0	\$0	0%	0.00	
Child Support Enforcement IV-D	\$430,400	\$215,200	\$0	\$0	\$0	\$0	\$215,200	\$0	0%	0.00	
Title IV-B Child Welfare Services	\$160,000	\$53,300	\$0	\$0	\$0	\$0	\$53,300	\$0	0%	0.00	
Title IV-E AFDC Foster Care	\$842,800	\$842,800	\$0	\$0	\$0	\$0	\$842,800	\$0	0%	0.00	
Developmental Disabilities Grants	\$759,900	\$0	\$0	\$0	\$0	\$0	\$0	\$0	0%	0.00	
Title IV-E AFDC Adoption Assistance	\$31,900	\$31,900	\$0	\$0	\$0	\$0	\$31,900	\$0	0%	0.00	
Local Discretionary Social Security Block Grant	\$1,140,700	\$0	\$0	\$0	\$0	\$0	\$0	\$0	100%	0.00	
Indirect	\$69,000	\$0	\$0	\$0	\$0	\$0	\$0	\$0	0%	0.00	
System of Care	\$2,480,500	\$370,000	\$0	\$200,000	\$0	\$0	\$570,000	\$0	0%	0.00	
Substance Abuse Prevention & Cooperative Agreement to Benefit Homeless Individuals	\$5,700	\$0	\$0	\$0	\$0	\$0	\$0	\$0	0%	0.00	
Strategic Prevention Framework - Partnerships for Success II (SPF-PFS II)	\$7,400	\$0	\$0	\$0	\$0	\$0	\$0	\$0	0%	0.00	
UT Transition Youth Empowered to	\$6,200	\$0	\$0	\$0	\$0	\$0	\$0	\$0	0%	0.00	
UT Supported Employment Transformation Proj	\$1,007,400	\$0	\$0	\$0	\$0	\$0	\$0	\$0	0%	0.00	
UT Peer Paraprofessional Cert. Prog.	\$500	\$0	\$0	\$0	\$0	\$0	\$0	\$0	0%	0.00	
UT Peer Paraprofessional Cert. Prog.	\$700	\$0	\$0	\$0	\$0	\$0	\$0	\$0	0%	0.00	
Division/Program Totals	\$8,685,200	\$1,513,200	\$0	\$200,000	\$0	\$0	\$1,713,200	\$0	13%	0.00	

Table 4

DIVISION OF SUBSTANCE ABUSE AND MENTAL HEALTH

The request for FY 2018 is a 36.2 percent increase over the amount initially appropriated for FY 2018. The request for FY 2019 is a 17.8 percent increase over the amount initially appropriated for FY 2018. These increases are due primarily to the new Utah Opioid State Targeted Response Grant, which was approved by the Executive Appropriations Committee (EAC) during the 2017 Interim. The Governor approved three other grants, which are also highlighted in orange. Three grants are one-time and will end in FY 2019: Strategic Prevention Framework - Partnerships for Success II, Utah Opioid State Targeted Response Grant, and Behavioral Health Workforce Education & Training.

Note for Maintenance of Effort (MOE) figures in Tables 5 and 6: DHS has applied to the federal Substance Abuse and Mental Health Services Administration for permission to exclude one-time costs from the amount of current state spending in FY 2016 for both Mental Health (MHBG) and Substance Abuse Prevention and Treatment (SAPT) Block Grants, as prescribed in law. This waiver would reduce the required MOE. DHS has not received the wavier yet and has included the full MOE amount in the tables above. FY 2016 one-time costs were \$9.46 million for MHBG and \$106,000 for SAPT.

Grant Title	Federal Annual Award	Annual Match Requirement							% Pass-Through	New Permanent Staff	Notes/In Kind Source/Fed Requirements
		Matching State Funds						Local/Other			
		General Fund	Dedicated Credits	Other Funds	In Kind	Maint. Of Effort	Total State Match				
Substance Abuse and Mental Health											
Mental Health Block Grant	\$347,200	\$0	\$0	\$0	\$0	\$35,779,200	\$35,779,200	\$0	76%	0.00	Required Maintenance of Effort is made up of existing budget for mental health services. Pass thru to local mental health authorities.
Substance Abuse Prevention & Treatment	\$535,500	\$0	\$0	\$0	\$0	\$21,147,500	\$21,147,500	\$0	91%	0.00	Exempt per 63J-5-103(2)(iv).
Strategic Prevention Framework - Partnerships for Success II (SPF-PFS II)	\$912,900	\$0	\$0	\$0	\$0	\$0	\$0	\$0	85%	0.00	Recipients of SPF-SIG grant. Local Substance Abuse Authorities.
Supported Employment	\$372,700	\$0	\$0	\$0	\$0	\$0	\$0	\$0	80%	0.00	Local Authorities Provide Services
State Youth Treatment Planning	\$37,500	\$0	\$0	\$0	\$0	\$0	\$0	\$0	0%	0.00	Planning Grant for State Youth Treatment Improvement
State Youth Treatment Implementation	\$800,000	\$0	\$0	\$0	\$0	\$0	\$0	\$0	77%	0.00	Implementation Grant for State Youth Treatment Improvement (Governor Approved)
Cooperative Agreement to Benefit Homeless Individuals - Enhancement	\$200,200	\$0	\$0	\$0	\$0	\$0	\$0	\$0	75%	0.00	Local Mental Health Authorities in Urban Areas are Recipients
Strategic Prevention Framework - Prescription Drugs (SPF-Rx)	\$38,200	\$0	\$0	\$0	\$0	\$0	\$0	\$0	92%	0.00	Recipients of SPF-Rx grant. Local Substance Abuse Authorities.
Utah Zero Suicide Project	\$376,800	\$0	\$0	\$0	\$40,300	\$0	\$40,300	\$0	94%	0.00	Local Authorities Provide Services. In-Kind from Admin Support (Governor Approved)
Utah Opioid State Targeted Response Grant	\$5,537,500	\$0	\$0	\$0	\$0	\$0	\$0	\$0	95%	0.00	Local Substance Abuse Authorities (EAC Approved)
Behavioral Health Workforce Education & Training	\$216,400	\$0	\$0	\$0	\$0	\$0	\$0	\$0	0%	0.00	Behavioral Peer Support Training and Education Services (Governor Approved)
Adult Drug Court Discretionary Programs	\$601,500	\$0	\$0	\$0	\$0	\$0	\$0	\$150,400	91%	0.00	Local Substance Abuse Authorities.
Division/Program Totals	\$9,976,400	\$0	\$0	\$0	\$40,300	\$56,926,700	\$56,967,000	\$150,400	88%	0.00	

Table 5

Grant Title	Federal Annual Award	Annual Match Requirement							% Pass-Through	New Staff	Notes/In Kind Source/Fed Requirements
		Matching State Funds						Local/Other			
		General Fund	Dedicated Credits	Other Funds	In Kind	Maint. Of Effort	Total State Match				
Substance Abuse and Mental Health											
Mental Health Block Grant	\$4,200,000	\$0	\$0	\$0	\$0	\$35,779,200	\$35,779,200	\$0	76%	0.00	Required Maintenance of Effort is made up of existing budget for mental health services. Pass thru to local mental health authorities.
Substance Abuse Prevention &	\$17,500,000	\$0	\$0	\$0	\$0	\$21,147,500	\$21,147,500	\$0	91%	0.00	
Projects for Assistance in Transition from Homelessness (PATH)	\$591,300	\$0	\$0	\$0	\$0	\$0	\$0	\$165,000	100%	0.00	
Strategic Prevention Framework - Partnerships for Success II (SPF-PFS II)	\$500,000	\$0	\$0	\$0	\$0	\$0	\$0	\$0	85%	0.00	Recipients of SPF-SIG grant. Local Substance Abuse Authorities.
Title XX Social Services Block Grant	\$162,900	\$0	\$0	\$0	\$0	\$0	\$0	\$0	0%	0.00	
Supported Employment	\$800,000	\$0	\$0	\$0	\$0	\$0	\$0	\$0	80%	0.00	Local Authorities Provide Services
State Youth Treatment Implementation	\$800,000	\$0	\$0	\$0	\$0	\$0	\$0	\$0	77%	0.00	Implementation Grant for State Youth Treatment Improvement (Governor Approved)
Strategic Prevention Framework - Prescription Drugs (SPF-Rx)	\$371,600	\$0	\$0	\$0	\$0	\$0	\$0	\$0	92%	0.00	Recipients of SPF-Rx grant. Local Substance Abuse Authorities.
Utah Zero Suicide Project	\$471,000	\$0	\$0	\$0	\$50,400	\$0	\$50,400	\$0	94%	0.00	Local Authorities Provide Services. In-Kind from Admin Support (Governor Approved)
Utah Opioid State Targeted Response Grant	\$5,537,500	\$0	\$0	\$0	\$0	\$0	\$0	\$0	95%	0.00	Local Substance Abuse Authorities (EAC Approved)
Behavioral Health Workforce Education & Training	\$288,600	\$0	\$0	\$0	\$0	\$0	\$0	\$0	0%	0.00	Behavioral Peer Support Training and Education Services (Governor Approved)
Adult Drug Court Discretionary	\$500,000	\$0	\$0	\$0	\$0	\$0	\$0	\$125,000	91%	0.00	Local Substance Abuse Authorities.
Assisted Outpatient Treatment	\$750,000	\$0	\$0	\$0	\$0	\$0	\$0	\$0	80%	0.00	Local Authorities Provide Services
Division/Program Totals	\$32,472,900	\$0	\$0	\$0	\$50,400	\$56,926,700	\$56,977,100	\$290,000	88%	0.00	

Table 6

DIVISION OF SERVICES FOR PEOPLE WITH DISABILITIES

There are no new federal funds requested for FY 2018. The request for FY 2019 is a 0.0 percent increase over the amount initially appropriated for FY 2018. There are no new grants reflected in Table 7 that were not previously authorized by the Legislature during the 2017 General Session.

Grant Title	Federal Annual Award	Annual Match Requirement							% Pass-Through	New Staff	Notes/In Kind Source/Fed Requirements
		Matching State Funds									
		General Fund	Dedicated Credits	Other Funds	In Kind	Maint. Of Effort	Total State Match	Local/Other			
Services for People with Disabilities											
Title XX Social Services Block Grant	\$1,327,000	\$0	\$0	\$0	\$0	\$0	\$0	\$0	0%	0.00	
Utah School to Work Interagency Transition Initiative	\$250,000	\$0	\$0	\$0	\$0	\$0	\$0	\$0	0%	0.00	
Division/Program Totals	\$1,577,000	\$0	\$0	\$0	\$0	\$0	\$0	\$0	0%	0.00	

Table 7

OFFICE OF RECOVERY SERVICES

The request for FY 2018 is a 6.8 percent increase over the amount initially appropriated for FY 2018. The request for FY 2019 is a 19.6 percent increase over the amount initially appropriated for FY 2018. There are no new grants reflected in Tables 8 and 9 that were not previously authorized by the Legislature during the 2017 General Session.

Grant Title	Federal Annual Award	Annual Match Requirement							% Pass-Through	New Permanent Staff	Notes/In Kind Source/Fed Requirements
		Matching State Funds									
		General Fund	Dedicated Credits	Other Funds	In Kind	Maint. Of Effort	Total State Match	Local/Other			
Office of Recovery Services											
Child Support Enforcement IV-D	\$1,418,500	\$474,600	\$0	\$0	\$0	\$0	\$474,600	\$0	0%	0.00	Exempt per 63J-5-103(2)(b)(iii).
Division/Program Totals	\$1,418,500	\$474,600	\$0	\$0	\$0	\$0	\$474,600	\$0	0%	0.00	

Table 8

Grant Title	Federal Annual Award	Annual Match Requirement							% Pass-Through	New Staff	Notes/In Kind Source/Fed Requirements
		Matching State Funds									
		General Fund	Dedicated Credits	Other Funds	In Kind	Maint. Of Effort	Total State Match	Local/Other			
Office of Recovery Services											
Child Support Enforcement IV-D	\$25,133,100	\$9,681,500	\$9,681,500	\$0	\$0	\$0	\$19,363,000	\$0	0%	0.00	
Division/Program Totals	\$25,133,100	\$9,681,500	\$9,681,500	\$0	\$0	\$0	\$19,363,000	\$0	0%	0.00	

Table 9

OFFICE OF PUBLIC GUARDIAN

There are no new federal funds requested for FY 2018. The request for FY 2019 is a 0.0 percent increase over the amount initially appropriated for FY 2018. There are no new grants reflected in Table 10 that were not previously authorized by the Legislature during the 2017 General Session.

Grant Title	Federal Annual Award	Annual Match Requirement							% Pass-Through	New Staff	Notes/In Kind Source/Fed Requirements
		Matching State Funds									
		General Fund	Dedicated Credits	Other Funds	In Kind	Maint. Of Effort	Total State Match	Local/Other			
Office of Public Guardian											
Title XX Social Services Block Grant	\$40,000	\$0	\$0	\$0	\$0	\$0	\$0	\$0	100%	0.00	
Division/Program Totals	\$40,000	\$0	\$0	\$0	\$0	\$0	\$0	\$0	100%	0.00	

Table 10

DIVISION OF CHILD AND FAMILY SERVICES

The request for FY 2018 is a 1.5 percent increase over the amount initially appropriated for FY 2018. The request for FY 2019 is a 0.0 percent increase over the amount initially appropriated for FY 2018. There are no new grants reflected in Tables 11 and 12 that were not previously authorized by the Legislature during the 2017 General Session.

Grant Title	Federal Annual Award	Annual Match Requirement							% Pass-Through	New Permanent Staff	Notes/In Kind Source/Fed Requirements
		Matching State Funds						Local/Other			
		General Fund	Dedicated Credits	Other Funds	In Kind	Maint. Of Effort	Total State Match				
Child and Family Services											
Community Based Child Abuse Prevention	\$28,900	\$7,200	\$0	\$0	\$0	\$0	\$7,200	\$0	0%	0.00	20% State Match required
Family Violence Prevention Services Act	\$2,500	\$0	\$0	\$0	\$0	\$0	\$0	\$0	0%	0.00	No State Match required
Child Abuse Prevention Treatment Act	\$800	\$0	\$0	\$0	\$0	\$0	\$0	\$0	0%	0.00	No State Match required
Title IV-E AFDC Adoption Assistance	\$650,500	\$320,400	\$0	\$0	\$0	\$0	\$320,400	\$0	0%	0.00	Exempt per 63J-5-103(2)(b)(iii).
Title IV-E AFDC Foster Care	\$254,600	\$200,000	\$0	\$0	\$0	\$0	\$200,000	\$0	0%	0.00	Exempt per 63J-5-103(2)(b)(iii).
Division/Program Totals	\$937,300	\$527,600	\$0	\$0	\$0	\$0	\$527,600	\$0	0%	0.00	

Table 11

Grant Title	Federal Annual Award	Annual Match Requirement							% Pass-Through	New Staff	Notes/In Kind Source/Fed Requirements
		Matching State Funds						Local/Other			
		General Fund	Dedicated Credits	Other Funds	In Kind	Maint. Of Effort	Total State Match				
Child and Family Services											
Title XX Social Services Block Grant	\$9,250,600	\$0	\$0	\$0	\$0	\$0	\$0	\$0	0%	0.00	
Title IV-B Child Welfare Services	\$3,686,700	\$0	\$0	\$0	\$0	\$0	\$0	\$0	0%	0.00	25% State Match required.
Title IV-E AFDC Foster Care	\$25,693,600	\$20,187,800	\$0	\$0	\$0	\$0	\$20,187,800	\$0	0%	0.00	
Community Based Child Abuse Prevention	\$384,900	\$96,200	\$0	\$0	\$0	\$0	\$96,200	\$0	0%	0.00	20% State Match required
Independent Living Program	\$821,700	\$205,400	\$0	\$0	\$0	\$175,000	\$380,400	\$0	0%	0.00	20% State Match required; MOE of \$175,000 Gen. Fund.
Title IV-E AFDC Adoption Assistance	\$10,136,200	\$4,992,500	\$0	\$0	\$0	\$0	\$4,992,500	\$0	0%	0.00	
Education & Training Voucher	\$274,800	\$68,700	\$0	\$0	\$0	\$0	\$68,700	\$0	0%	0.00	20% State Match required.
Family Violence Prevention Services	\$1,235,700	\$0	\$0	\$0	\$0	\$0	\$0	\$0	0%	0.00	No State Match required
From TANF to Social Services Block Grant	\$7,530,000	\$0	\$0	\$0	\$0	\$0	\$0	\$0	0%	0.00	
Child Abuse Prevention Treatment Act	\$324,700	\$0	\$0	\$0	\$0	\$0	\$0	\$0	0%	0.00	No State Match required
Adoption Incentive	\$1,045,200	\$0	\$0	\$0	\$0	\$0	\$0	\$0	0%	0.00	
Promoting Safe and Stable Families	\$1,744,100	\$581,400	\$0	\$0	\$0	\$0	\$581,400	\$0	0%	0.00	25% State Match required.
Promoting Safe and Stable Families - Caseworker Visits	\$109,900	\$36,600	\$0	\$0	\$0	\$0	\$36,600	\$0	0%	0.00	25% State Match required.
Division/Program Totals	\$62,238,100	\$26,168,600	\$0	\$0	\$0	\$175,000	\$26,343,600	\$0	0%	0.00	

Table 12

DIVISION OF AGING AND ADULT SERVICES

There are no new federal funds requested for FY 2018. The request for FY 2019 is a 0.0 percent decrease from the amount initially appropriated for FY 2018. There are no new grants reflected in Table 13 that were not previously authorized by the Legislature during the 2017 General Session.

Grant Title	Federal Annual Award	Annual Match Requirement							% Pass-Through	New Staff	Notes/In Kind Source/Fed Requirements
		Matching State Funds						Local/Other			
		General Fund	Dedicated Credits	Other Funds	In Kind	Maint. Of Effort	Total State Match				
Aging and Adult Services											
Title XX Social Services Block Grant	\$1,049,700	\$0	\$0	\$0	\$0	\$0	\$0	\$0	100%	0.00	
Title III B Supportive Services	\$2,089,500	\$0	\$0	\$0	\$0	\$104,475	\$104,475	\$208,950	95%	0.00	The MOE amount is the required state match.
Title III C-1 Congregate Meals	\$2,872,900	\$0	\$0	\$0	\$0	\$143,645	\$143,645	\$287,290	85%	0.00	The MOE amount is the required state match.
Title III C-2 Home Delivered Meals	\$1,550,800	\$0	\$0	\$0	\$0	\$77,540	\$77,540	\$155,080	95%	0.00	The MOE amount is the required state match.
Title III D Preventative Health	\$118,400	\$0	\$0	\$0	\$0	\$0	\$0	\$0	100%	0.00	
Title III E National Family Caregiver	\$1,016,000	\$0	\$0	\$0	\$0	\$203,200	\$203,200	\$10,160	100%	0.00	
Title V Senior Community Service Employment Program	\$555,000	\$0	\$0	\$0	\$0	\$0	\$0	\$55,500	95%	0.00	
Title VII Elder Abuse Prevention	\$29,800	\$0	\$0	\$0	\$0	\$0	\$0	\$0	75%	0.00	
Title VII Ombudsman Services	\$111,500	\$158,000	\$0	\$0	\$0	\$0	\$158,000	\$78,050	80%	0.00	
Nutrition Services Incentive Program	\$1,371,800	\$0	\$0	\$0	\$0	\$0	\$0	\$0	100%	0.00	
State Health Insurance Information Program	\$375,800	\$0	\$0	\$0	\$0	\$0	\$0	\$0	65%	0.00	
Medicare Improvement for Patients & Providers Act	\$409,100	\$0	\$0	\$0	\$0	\$0	\$0	\$0	100%	0.00	
Senior Medicare Patrol	\$176,400	\$0	\$0	\$0	\$0	\$0	\$0	\$0	80%	0.00	
Division/Program Totals	\$11,726,700	\$158,000	\$0	\$0	\$0	\$528,860	\$686,860	\$795,030	93%	0.00	

Table 13

APPENDIX A: FEDERAL RESERVES OVER THREE MONTHS FOR FY 2018

Table 14 below shows \$39.9 million in spending authority reserves for federal grants. Reserves are funds that have been approved by the federal government, but for which the department has not yet requested spending authority from the Legislature. The department could request authority from the Legislature to access those funds during the Interim or in a subsequent General Session. UCA 63J-5-205 also allows agencies to spend up to 25 percent over their federal funds appropriation -- by the line item -- without legislative approval, subject to certain restrictions. Table 3 includes agency-provided explanations for reserves that would facilitate current spending levels for longer than 3 months.

Federal Grants \$200,000 or Greater - Amount of Anticipated Reserves
Department of Human Services

Division	Federal Grant Name	Cumulative Grant Authority through 9/30/17	Estimate of Grant Monies to be Received and Available as of 6/30/18	Authority through FY 2018	Amount in FY 2018 Budget Request	Reserve Spending Authority	# of Months of Reserve	Explanation of Reserves Over Three Months (or Other Notes)
DAAS	Title V Senior Community Service Employment Program	528,600	-	528,600	577,700	(49,100)	-1	
DAAS	Title III B Supportive Services	1,065,900	1,700,000	2,765,900	2,142,000	623,900	3	
DAAS	Title III C-1 Congregate Meals	1,909,100	2,300,000	4,209,100	2,790,700	1,418,400	6	Awarded for 10/1/2017 through 9/30/2018, with 2 year extension to 9/30/2020 (3 year funds).
DAAS	Title III C-2 Home Delivered Meals	1,192,700	1,500,000	2,692,700	1,727,900	964,800	6	Awarded for 10/1/2017 through 9/30/2018, with 2 year extension to 9/30/2020 (3 year funds).
DAAS	Senior Medicare Patrol	306,000	225,000	531,000	233,300	297,700	15	Awarded for 10/1/2017 through 9/30/2018, with 1 year extension to 9/30/2019 (2 year funds).
DAAS	Title III E National Family Caregiver Support	971,900	900,000	1,871,900	1,095,700	776,200	8	Awarded for 10/1/2017 through 9/30/2018, with 2 year extension to 9/30/2020 (3 year funds).
DAAS	Nutrition Services Incentive Program	931,000	1,300,000	2,231,000	1,376,500	854,500	7	Awarded for 10/1/2017 through 9/30/2018, with 1 year extension to 9/30/2019 (2 year funds).
DAAS	State Health Insurance Information Program	337,800	325,000	662,800	353,500	309,300	10	Awarded for 4/1/2017 through 3/31/2018, with 6 month extension to 9/30/2018.
DCFS	Promoting Safe and Stable Families	1,744,100	1,744,100	3,488,200	1,744,100	1,744,100	12	Funds from this grant are planned for expenditure in the State fiscal year immediately following the year in which Federal funds are authorized. This timing supports effective fiscal and program management, and allows time for contracting. These funds are limited to family preservation, family support, time-limited reunification, and adoption promotion and support services.
DCFS	Community Based Child Abuse Prevention	746,900	-	746,900	384,900	362,000	11	Funds from this grant are planned for expenditure in the State fiscal year immediately following the year in which Federal funds are authorized. This timing supports budgeting for effective fiscal and program management, including time for contracting. These funds are limited to child abuse prevention activities. SFY17 Amount \$384,900 and SFY18 Amount \$362,000; both awards are included in Cumulative Grant Authority through 9/30/17 column.

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DCFS	Education & Training Voucher	274,800	274,800	549,600	274,800	274,800	12	Funds from this grant are planned for expenditure in the State fiscal immediately following the year in which Federal funds are authorized. This timing supports budgeting for effective fiscal and program management, including time for contracting. These funds are limited for post-secondary education for former foster youth and certain adoptive youth.
DCFS	Adoption Incentive Grant	1,666,100	289,000	1,955,100	1,045,200	909,900	10	The amount of this funding can vary significantly from year to year. There is not a typical monthly cost for how these funds are used. For more effective fiscal and program management, expenditures of these funds are planned after the federal fiscal year award is received so the amount to be budgeted is known. Funds from each year's grant award are planned to begin to be expended in the State fiscal immediately following the year in which Federal funds are authorized. The Federal government allows up to 3 years to spend each year's award, so due to uneven award amounts, a portion of funds may be carried into an additional fiscal year to even out budgeting.
DCFS	Child Abuse Prevention Treatment Act	324,700	324,700	649,400	324,700	324,700	12	Funds from this grant are planned for expenditure in the State fiscal year immediately following the year in which Federal funds are authorized. This timing supports effective fiscal and program management, and allows time for contracting. These funds are for use for child protective services system improvements.
DCFS	Family Violence Prevention Services Act	1,235,600	1,235,600	2,471,200	1,235,700	1,235,500	11	Funds from this grant are planned for expenditure in the State fiscal year immediately following the year in which Federal funds are authorized. This timing supports budgeting for effective fiscal and program management, including time for contracting. These funds are allotted for domestic violence shelters that provide services for the safety of abused parents and their children.
DCFS	Independent Living Program	821,700	821,700	1,643,400	821,700	821,700	12	Funds from this grant are planned for expenditure in the State fiscal year immediately following the year in which Federal funds are authorized. This timing supports budgeting for effective fiscal and program management, including time for contracting. These funds are limited for youth preparing to transition to adult living from foster care.

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DCFS, EDO	Federal DHS Title IV-B Child Welfare	3,144,100	2,799,700	5,943,800	3,846,700	2,097,100	6	Lag in grant spending is beneficial for management.
DHS	Title XX, includes local discretionary SSBG	13,292,800	11,146,500	24,439,300	15,746,400	8,692,900	6	Lag in grant spending is beneficial for management. Includes funding for DWS and JJS. DWS funding is for food program.
DSAMH	Adult Drug Court Discretionary Programs	1,601,500	-	1,601,500	601,500	1,000,000	19	The cumulative amount includes carryover funds of \$101,500 to be spent in FY2018 plus a new award of \$1.5 million (one awarded but for 3 years of services). The plan is to spend the new award @ \$500,000/year.
DSAMH	Projects for Assistance in Transition from Homelessness (PATH)	610,600	-	610,600	591,000	19,600	0	
DSAMH	Strategic Prevention Framework - Partnerships for Success II (SPF-PFS II)	2,466,500	-	2,466,500	2,466,500	-	0	
DSAMH	Cooperative Agreement to Benefit Homeless Individuals (CABHI)	540,300	-	540,300	536,700	3,600	0	
DSAMH	Supported Employment	1,372,000	-	1,372,000	1,172,700	199,300	2	
DSAMH	State Youth Treatment Implementation	-	800,000	800,000	800,000	-	0	
DSAMH	Cooperative Agreement to Benefit Homeless Individuals - Enhancement	192,000	-	192,000	200,200	(8,200)	0	
DSAMH	Strategic Prevention Framework - Prescription Drugs (SPF-Rx)	537,100	-	537,100	413,200	123,900	3	
DSAMH	Utah Zero Suicide Project	471,000	-	471,000	376,800	94,200	3	
DSAMH	Behavioral Health Workforce Education & Training	288,600	-	288,600	216,400	72,200	4	The SFY2018 budget for this grant is 75% of the total award (allows 3 months reserve for FY2019).
DSAMH	Utah Opioid State Targeted Response Grant	5,537,500	-	5,537,500	5,537,500	-	0	
DSAMH	Mental Health Block Grant	3,665,000	3,136,800	6,801,800	4,200,000	2,601,800	7	Multi-Year funding. Funds have planned uses detailed in the budget approved by the granting authority.
DSAMH	Substance Abuse Prevention & Treatment	11,433,600	16,588,000	28,021,600	17,500,000	10,521,600	7	Multi-Year funding. Funds have planned uses detailed in the budget approved by the granting authority.
DSAMH	Assisted Outpatient Treatment	1,064,300	-	1,064,300	750,000	314,300	5	More carryover funds are available in FY2018 than shown as budgeted. These funds are planned to be used by end of SFY18.
DSPD	Utah School to Work Interagency Transition Initiative	418,000	-	418,000	250,000	168,000	8	The Grant started on 9/1/2016 but most of the work did not happen until the end of the fiscal year.
EDO	System of Care	1,805,700	-	1,805,700	981,500	824,200	10	Some unspent funds have been carried forward. They must be spent by 9/29/2018.
EDO	UT Transisiton Youth Empowered to Succeed	2,656,900	-	2,656,900	1,307,400	1,349,500	12	Some unspent funds have been carried forward. They must be spent by 9/29/2019. In addition, \$1 million of this funding only becomes available 9/30/2018 for expenditures through 9/29/2019.
EDO	UT Peer Paraprofessional Cert. Prog.	143,900	-	143,900	234,600	(90,700)	-4	Program ends 9/29/2017, and remainder of funding is approved to spend until program costs are complete.
EDO	Developmental Disabilities Grants	1,141,500	650,000	1,791,500	759,900	1,031,600	16	The program is funded totally with Federal funds. The grant funds is intended to be used for program purposes.
Totals				114,500,700	74,617,400	39,883,300	6	

Table 14

APPENDIX B: FEDERAL BLOCK GRANT USES, HISTORICAL BLOCK GRANT USES, AND HOW BLOCK GRANT FUNDING IS APPROVED

The following tables show the agency-proposed uses of their three major block grants: 1) Social Services Block Grant (Table 15); Substance Abuse Prevention and Treatment Block Grant (Table 16); and the Mental Health Block Grant (Table 17). Tables 18 through 20 show how each block grant has been used since FY 2012. Table 21 indicates the process to approve spending plans for these three block grants.

Social Services Block Grant

	FY17 Actual	FY18 Budget	FY19 Budget
Executive Director Operations (EDO)			
<i>Legal Affairs</i>	80,300	130,700	124,400
<i>Information Technology</i>	662,400	681,400	759,900
<i>Local Discretionary</i>	1,129,100	1,167,200	1,140,700
<i>Services Review</i>	164,700	95,200	69,100
<i>Office of Licensing</i>	464,700	834,800	788,700
EDO Total	\$ 2,501,200	\$ 2,909,300	\$ 2,882,800
Division of Substance Abuse and Mental Health (DSAMH)			
<i>Community Mental Health Services</i>	162,900	162,900	162,900
DSAMH Total	\$ 162,900	\$ 162,900	\$ 162,900
Office of Public Guardian (OPG)			
<i>Office of Public Guardian</i>	40,000	40,000	40,000
OPG Total	\$ 40,000	\$ 40,000	\$ 40,000
Division of Services for People with Disabilities (DSPD)			
<i>Administration</i>	-	100,000	100,000
<i>Non Waiver Services</i>	1,085,000	1,227,100	1,227,100
DSPD Total	\$ 1,085,000	\$ 1,327,100	\$ 1,327,100
Division of Child and Family Services (DCFS)			
<i>Service Delivery</i>	4,187,600	5,735,300	4,385,300
<i>In-Home Services</i>	218,300	218,300	218,300
<i>Out-of-Home Services</i>	915,000	915,000	915,000
<i>Facility Based Services</i>	991,400	991,400	991,400
<i>Special Needs</i>	881,400	910,600	910,600
<i>Domestic Violence</i>	0	0	1,350,000
<i>Child Welfare MIS</i>	206,900	480,000	480,000
DCFS Total	\$ 7,400,600	\$ 9,250,600	\$ 9,250,600
Division of Aging and Adult Services (DAAS)			
<i>Aging Alternatives</i>	1,049,700	1,049,700	1,049,700
	\$ 1,049,700	\$ 1,049,700	\$ 1,049,700
DHS Totals without JJS	\$ 12,239,400	\$ 14,739,600	\$ 14,713,100
Division of Juvenile Justice Services (JJS)			
<i>Community Programs</i>	-	753,800	753,800
<i>Rural Programs</i>	-	238,000	238,000
	\$ -	\$ 991,800	\$ 991,800
DHS Totals with JJS	\$ 12,239,400	\$ 15,731,400	\$ 15,704,900
<i>Amount for DWS</i>	15,000	15,000	15,000
Total Amount per Fiscal Year	\$ 12,254,400	\$ 15,746,400	\$ 15,719,900

Table 15

SAPT Block Grant

Description	FY17 Actual	FY18 Budget	FY19 Budget
KBA			
Administration	406,900	535,300	535,300
KBA Total	406,900	535,300	535,300
KCC			
Prevention Training Contracts			
Substance Abuse Fall Conference	43,700	46,000	46,000
Prevention workforce training (SAPST)	40,900	35,000	35,000
Subtotal	84,600	81,000	81,000
Treatment Training Contracts			
Substance Abuse Fall Conference	62,400	91,900	91,900
EBP Traing on MI, ,Adolescent)	457,200	75,000	75,000
Adloescent Training, Trauma, A-CRA, GAIN Q3MI)	251,000	124,200	124,200
University of Utah Conference		30,000	30,000
Other	14,800		
Subtotal	785,400	321,100	321,100
State Prevention and Early Intervention			
Communities that Care (CTC) Coalitions	17,900	90,000	90,000
Prevention Dimensions - USOE	64,800	130,000	130,000
Statewide Epidemiological Outcomes Workgroup	-	60,000	60,000
SHARP Survey	165,300	154,200	154,200
Other; Recovery Support; ATR; MAT; ATC	156,000	-	-
Subtotal	404,000	434,200	434,200
KCC Total	1,274,000	836,300	836,300
KCD			
Prevention and Early Intervention			
Prevention Pass-through to Local Authorities	4,232,400	4,230,100	4,230,100
Screening, Brief Intervention for Adolescents	-	200,000	200,000
Naloxone	-	70,000	70,000
Harm Reduction Activities	-	45,000	45,000
Subtotal	4,232,400	4,545,100	4,545,100
Treatment			
General Treatment Pass-through to Local Authorities	6,307,200	6,425,200	6,425,200
Women's Treatment to Local Authorities	3,125,200	3,125,200	3,125,200
Medication-assisted Treatment (MAT)	-	300,000	300,000
Subtotal	9,432,400	9,850,400	9,850,400
Recovery Support			
Recovery Case Managers	-	240,000	240,000
Recovery Support Services	-	390,100	390,100
Contract with USARA for Recovery Support Services	-	105,000	105,000
Sober Living, supported Employment	-	300,000	300,000
Subtotal	-	1,035,100	1,035,100
KCD Total	13,664,800	15,430,600	15,430,600
KDB			
Treatment			
Drug Courts - Allocation to Local Substance Abuse Authorities	850,300	657,800	657,800
Drug Courts -Certification monitoring	40,000	40,000	40,000
Subtotal	890,300	697,800	697,800
KDB Total	890,300	697,800	697,800
Total	16,236,000	17,500,000	17,500,000

Table 16

MHBG Block Grant

Description	FY17 Actual	FY18 Budget	FY19 Budget
KBA			
Administration	57,900	65,400	65,400
KBA Total	57,900	65,400	65,400
KBC			
Training			
Mental Health Conference/Sponsorships	73,100	86,000	86,000
Training Contracts	23,500	94,200	94,200
Subtotal	96,600	180,200	180,200
Prevention and Early Intervention			
Mental Health Promotion / Mental Illness Prevention Services	271,800	271,800	271,800
Family Resource Facilitators Statewide	139,700	182,400	182,400
Family Mentors	208,100	214,600	214,600
Statewide Crisis Service	185,700	140,000	140,000
Federal Early Intervention (5% FY16) (10% FY17)	558,200	559,900	559,900
Screening for Mental Health	124,200	42,500	20,000
Other	50,800	-	-
	1,538,500	1,411,200	1,388,700
Recovery Support			
Consumer Advocate Statewide	75,000	75,000	75,000
Outcome Questionnaire Services	39,900	70,100	73,600
UBHPAC Expenses (Stipends)	2,300	25,000	25,000
Subtotal	117,200	170,100	173,600
Miscellaneous			
Other Support Costs - Travel, Printing, Websites	21,500	50,500	50,500
Subtotal	21,500	50,500	50,500
KBC Total	1,773,800	1,812,000	1,793,000
KBD			
Treatment			
Adult Pass-through to Local Authorities	1,578,400	1,780,000	1,780,000
Children Pass-through to Local Authorities	665,200	527,600	551,600
Children's Outplacement Safety Net	0	10,000	10,000
JRI Research - Weber	0	5,000	0
Subtotal	2,243,600	2,322,600	2,341,600
KBD Total	2,243,600	2,322,600	2,341,600
Total	4,075,300	4,200,000	4,200,000

Table 17

FEDERAL FUNDS - DEPARTMENT OF HUMAN SERVICES

Social Services Block Grant	FY 2012	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017	FY 18 (auth)	FY 19 (req)
Mandatory Spending	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
Discretionary Spending	\$ 15,655,400	\$ 17,328,300	\$ 16,129,000	\$ 15,321,100	\$ 14,322,400	\$ 12,254,400	\$ 15,746,400	\$ 15,719,900
Executive Director Operations	\$ 2,714,800	\$ 1,822,900	\$ 1,608,100	\$ 1,412,100	\$ 1,412,100	\$ 1,372,100	\$ 1,742,100	\$ 1,742,100
Substance Abuse & Mental Health	\$ 151,400	\$ 221,100	\$ 165,100	\$ 162,900	\$ 163,000	\$ 162,900	\$ 162,900	\$ 162,900
Office of Public Guarding	\$ -	\$ -	\$ -	\$ -	\$ -	\$ 40,000	\$ 40,000	\$ 40,000
Services for People with Disab.	\$ 1,079,000	\$ 1,347,000	\$ 1,106,000	\$ 940,000	\$ 1,227,100	\$ 1,085,000	\$ 1,327,100	\$ 1,327,100
Children and Family Services	\$ 8,956,000	\$ 10,569,500	\$ 9,987,500	\$ 9,230,600	\$ 9,250,600	\$ 7,400,600	\$ 9,250,600	\$ 9,250,600
Aging and Adult Services	\$ 1,084,200	\$ 1,106,100	\$ 1,063,800	\$ 999,700	\$ 1,099,700	\$ 1,049,700	\$ 1,049,700	\$ 1,049,700
Juvenile Justice Services	\$ 473,300	\$ 1,045,100	\$ 1,005,100	\$ 1,431,800	\$ -	\$ -	\$ 991,800	\$ 991,800
EDO - Local Discretionary	\$ 1,181,700	\$ 1,201,600	\$ 1,178,400	\$ 1,129,000	\$ 1,154,900	\$ 1,129,100	\$ 1,167,200	\$ 1,140,700
Workforce Services	\$ 15,000	\$ 15,000	\$ 15,000	\$ 15,000	\$ 15,000	\$ 15,000	\$ 15,000	\$ 15,000
Total	\$ 15,655,400	\$ 17,328,300	\$ 16,129,000	\$ 15,321,100	\$ 14,322,400	\$ 12,254,400	\$ 15,746,400	\$ 15,719,900
Notes:								
Amounts are rounded.								
Although spending is reported as discretionary, requirements impact use of funds.								
Funding must be used as allowed by grant, Social Services Block Grant (Title XX).								
Workforce Services receives funding for child care to enable food program.								
Federal and Legislative action, and management decisions impact spending amounts.								
See Admin Rule, <i>R495-861</i> impacting distribution of amount allocated for local discretionary.								
For information regarding Federal reporting expenditure categories (other than administration), See <i>45 CFR Part 96 Appendix A</i> .								

Table 18

Substance Abuse Block Grant	FY 2012	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018 (est)	FY 2019 (est.)
Mandatory Spending	\$ 17,004,417	\$ 17,017,214	\$ 15,776,277	\$ 16,522,107	\$ 15,613,152	\$ 16,236,000	\$ 17,500,000	\$ 17,500,000
Admin	\$ 857,948	\$ 804,549	\$ 751,206	\$ 822,522	\$ 451,549	\$ 406,900	\$ 535,300	\$ 535,300
Prevention	\$ 4,799,785	\$ 4,906,335	\$ 4,275,986	\$ 4,514,771	\$ 3,833,897	\$ 4,721,000	\$ 5,060,300	\$ 5,060,300
Treatment	\$ 10,640,333	\$ 10,695,251	\$ 10,111,468	\$ 10,292,213	\$ 10,591,624	\$ 10,217,800	\$ 11,206,600	\$ 11,206,600
Drug Courts	\$ 705,792	\$ 610,984	\$ 637,618	\$ 892,601	\$ 736,082	\$ 890,300	\$ 697,800	\$ 697,800
Data Systems	\$ 560	\$ 96						
Discretionary Spending	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
Total	\$ 17,004,417	\$ 17,017,214	\$ 15,776,277	\$ 16,522,107	\$ 15,613,152	\$ 16,236,000	\$ 17,500,000	\$ 17,500,000
*We have listed these as "Mandatory" since any significant change requires prior approval from SAMHSA								

Table 19

Mental Health Block Grant	FY 2012	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018 (est.)	FY 2019 (est.)
Mandatory Spending	\$ 3,669,427	\$ 3,499,298	\$ 3,196,443	\$ 3,170,285	\$ 3,794,000	\$ 4,075,300	\$ 4,200,000	\$ 4,200,000
Admin	\$ 183,556	\$ 165,766	\$ 161,585	\$ 173,400	\$ 135,100	\$ 57,900	\$ 65,400	\$ 65,400
Children	\$ 960,185	\$ 1,070,729	\$ 909,990	\$ 1,014,490	\$ 1,365,500	\$ 1,392,800	\$ 1,265,200	\$ 1,289,200
Adult	\$ 2,146,861	\$ 1,995,608	\$ 1,866,855	\$ 1,672,165	\$ 2,025,200	\$ 2,371,500	\$ 2,573,100	\$ 2,573,100
Other	\$ 363,250	\$ 223,383	\$ 160,990	\$ 183,683	\$ 102,900	\$ 128,000	\$ 171,200	\$ 147,200
MH Data Systems	\$ 15,575	\$ 43,811	\$ 97,023	\$ 126,548	\$ 165,300	\$ 125,100	\$ 125,100	\$ 125,100
Discretionary Spending	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
Total	\$ 3,669,427	\$ 3,499,298	\$ 3,196,443	\$ 3,170,285	\$ 3,794,000	\$ 4,075,300	\$ 4,200,000	\$ 4,200,000
*We have listed these as "Mandatory" since any significant change requires prior approval from SAMHSA								

Table 20

Block Grant	Allowable Uses	Process to Approve Spending Plans	How and Why Have Spending Priorities Changed Over the Last Five Years?
Social Services Block Grant	SSBG is allowed for support and delivery of social services within grant restrictions.	<p>Costs charged to the grant must be allowable. Spending decisions are determined by need, plan, and available resources. The Utah Department of Human Services (Department) budget is approved through the State agency budgeting process. See Title 63J. The budgeting process includes Legislative review, appropriation of funds, and the opportunity for public comment. Department responsibility includes the expectation to administer the Social Services Block Grant (62A-1-114). The Department provides oversight of the grant and Department decisions impact grant spending. The Department submits a pre-expenditure report to the US Department of Health and Human Services. (See 42 USC 1397c.) SSBG funds are awarded to the State based on population allocation. The award is impacted by Federal action, such as sequestration. Funds are to be used as allowed by the grant. For the Federal site regarding Social Services Block Grant, see http://www.acf.hhs.gov/ocs/programs/ssbg For the Department site involving block grant public notice information, see http://hsofo.utah.gov/public-notice.</p>	See attached SSBG sheet.
Substance Abuse - Prevention and Treatment	SAPT block grant funds may be used at a state's discretion to achieve state objectives except for certain requirements including: 1) not less than 20 percent of the funds can be spent for education, counseling, and risk-reduction programs for individuals who do not require treatment for substance abuse, 2) not less than 5 percent of the grant can be spent to increase (relative to fiscal year 1994) the availability of treatment services designed for pregnant women and women with dependent children, and 3) states will provide, directly or through arrangements with other public or nonprofit entities, tuberculosis services for substance abusers, and	<p>DSAMH applies for the Substance Abuse and Mental Health block grants in a combined application now using the online portal WebGAS and the grant is set aside for States (it is not a competitive bid or a discretionary grant). Costs charged to the grant must be allowable. Spending decisions are determined by need, plan, and available resources. These block grants are noncompetitive, formula grants mandated by the U.S. Congress. Eligible entities must submit an annual application to demonstrate statutory and regulatory compliance in order to receive the formula-based funding. Hundreds of work hours by DSAMH staff, the Planning Council and others go into creating and reviewing the support and plans that are submitted to Substance Abuse and Mental Health Services Administration (SAMHSA) electronically. SAMHSA responds to our request with questions and suggestions, and there's a back and forth with our SAMHSA contact until the final version is approved by SAMHSA. This process is governed by the Federal Government and cannot be changed.</p>	<p>Spending plans have remained relatively constant with some variation based on a number of factors including the size of the grants, increases or decreases in state general fund and timing differences. The changes you may notice from year to year are more of a product of Federal Fiscal years not lining up with the State Fiscal Year. This creates timing differences where expenditures can be pulled or pushed to adjoining state fiscal years creating an impression of differences.</p> <p>No discretionary spending was listed because the grant specifies where the money must be spent once approved; there is no discretion in the areas in which it's spent or deviating from the plan unless prior written approval is obtained from SAMHSA. Furthermore there are restrictions on spending of the Block Grants.</p>

	those individuals at risk for the human immunodeficiency virus (HIV) disease.		
Mental Health Block Grant	<p>This grant assists states in carrying out their plans for providing comprehensive community mental health services. The CMHS block grant is also used to monitor the progress in implementing a comprehensive community based mental health system, and provide technical assistance to aid states in planning and implementing a comprehensive community based mental health system. A minimum of 5% of the block grant amount must be used for early intervention services for individuals with SMI or SED. Annual costs for services to children with a serious emotional disturbance must equal or exceed the amount spent during State Fiscal Year 1994.</p>	<p>DSAMH applies for the Substance Abuse and Mental Health block grants in a combined application now using the online portal WebGAS and the grant is set aside for States (it is not a competitive bid or a discretionary grant). Costs charged to the grant must be allowable. Spending decisions are determined by need, plan, and available resources. These block grants are noncompetitive, formula grants mandated by the U.S. Congress. Eligible entities must submit an annual application to demonstrate statutory and regulatory compliance in order to receive the formula-based funding. Hundreds of work hours by DSAMH staff, the Planning Council and others go into creating and reviewing the support and plans that are submitted to Substance Abuse and Mental Health Services Administration (SAMHSA) electronically. SAMHSA responds to our request with questions and suggestions, and there's a back and forth with our SAMHSA contact until the final version is approved by SAMHSA. This process is governed by the Federal Government and cannot be changed.</p>	<p>Spending plans have remained relatively constant with some variation based on a number of factors including the size of the grants, increases or decreases in state general fund and timing differences. The changes you may notice from year to year are more of a product of Federal Fiscal years not lining up with the State Fiscal Year. This creates timing differences where expenditures can be pulled or pushed to adjoining state fiscal years creating an impression of differences.</p> <p>No discretionary spending was listed because the grant specifies where the money must be spent once approved; there is no discretion in the areas in which it's spent or deviating from the plan unless prior written approval is obtained from SAMHSA. Furthermore there are restrictions on spending of the Block Grants.</p>

Table 21

APPENDIX C: BRIEF DESCRIPTION OF FEDERAL FUNDS

Executive Director Operations (see other division sections for further description of grants):

- Title XX of the Social Security Act - Social Services Block Grant – 93.667 - exempt per 63J-5-103(2)(e) – support for grant.
- Local Discretionary Social Services Block Grant – 93.667 - exempt per 63J-5-103(2)(l) – a portion of the Social Services Block Grant funds is allocated to local governments for support and delivery of social services.
- Federal DHS DEV Disability Grants – 93.630 - funds the goals and objectives of the Utah Developmental Disabilities Council. A portion is passed through in the form of grants.
- Federal DHS IVE AFDC Foster Care – 93.658 - exempt per 63J-5-103(2)(e) – support for grant.
- Federal DHS Title IV-B Child Welfare – 93.645 - exempt per 63J-5-103(2)(e) – support for grant.
- Federal DHS IV-E Adoptions – 93.659 - exempt per 63J-5-103(2)(e) – support for grant.
- Federal DHS IV-D Child Support – 93.563 - exempt per 63J-5-103(2)(e) – support for grant.
- Federal Substance Abuse Prevention and Treatment – 93.959 - exempt per 63J-5-103(2)(f) support for grant.
- Federal DHS Progressive Adulthood Grant (PASSAGE) – 93.243 - support for grant.
- System of Care – 93.959 – organizes services in a coordinated manner through collaboration with key partners to improve the accessibility, availability, and quality of services for Utah’s children, youth, and families.
- Utah Peer Paraprofessional Certificate Program (UPPCP) – 93.243 – purpose is to expand the behavioral health workforce by supporting education and training for behavioral health-related paraprofessionals at community and technical colleges, and training for peer professionals in other settings as appropriate. Grantees will help to close the gap in access to behavioral health care services by increasing the numbers of adequately prepared behavioral health paraprofessionals working with at-risk children, youth, and their families.
- Utah Transition Youth Empowered to Succeed (UT YES) – 93.243 - purpose is to support youth and young adults in transition, ensuring they have the proper resources for mental health services, housing, employment and other social supports.
- Indirect Federal Funds – n/a - a portion of administrative costs are charged to federal grants using an approved method to calculate an indirect rate and associated federal personnel costs of a grant.
- Cooperative Agreement To Benefit Homeless Individuals (CABHI) - 93.243 - purpose is to enhance or develop the infrastructure of states and their treatment service systems to increase capacity to provide accessible, effective, comprehensive, coordinated/integrated, and evidence-based treatment services; permanent housing; peer supports; and other critical services for the following: veterans who experience homelessness or chronic homelessness, and other individuals (non-veterans) who experience chronic homelessness.
- Strategic Prevention Framework/Partnerships for Success - 93.243 - exempt per 63J-5-103(2)(e) – is designed to address two of the nation's top substance abuse prevention priorities: 1) underage drinking among persons aged 12 to 20; and 2) prescription drug misuse and abuse among persons aged 12 to 25.
- UT Supported Employment Transformation Project - 93.243 - purpose is to strengthen our current employment initiatives by developing and implementing two supported employment programs in the state to full evidence based fidelity. This grant requires participation from DSAMH, VR, DOE, Medicaid, DOL, VA, Commerce and other community partners.

Substance Abuse and Mental Health:

- Mental Health Block Grant – 93.958 - the purpose of the Mental Health Block Grant is to provide flexible funds to states and territories by formula to support community mental health services for adults with serious mental illness and children with serious emotional disturbance.

- Substance Abuse Prevention and Treatment – 93.959 - exempt per 63J-5-103(2)(f) – funding from this grant supplements the General Fund appropriations for substance abuse services in the state. This federal grant requires that the state, through the local substance abuse authorities, provide a continuum of the following services: 1) detoxification, 2) prevention services, 3) outpatient services, 4) intensive outpatient services, and 5) residential treatment programs.
- Projects to Assist in Transition from Homelessness (PATH) – 93.150 - exempt per 63J-5-103(2)(l) – the PATH grant is a formula grant to support service delivery to individuals with serious mental illnesses, as well as individuals with co-occurring substance use disorders, who are homeless or at risk of becoming homeless.
- Social Services Block Grant – 93.667 - exempt per 63J-5-103(2)(e) – funds for support and delivery of social services.
- Strategic Prevention Framework Partnerships for Success II (SPF-PFS II) – 93.243 - exempt per 63J-5-103(2)(e) – is designed to address two of the nation's top substance abuse prevention priorities: 1) underage drinking among persons aged 12 to 20; and 2) prescription drug misuse and abuse among persons aged 12 to 25.
- Strategic Prevention Framework/Prescription Drugs (SPF-Rx) - 93.243 - designed to raise awareness about the dangers of sharing medications and work with pharmaceutical and medical communities on the risks of over-prescribing to young adults. SPF Rx will also raise community awareness and bring prescription drug abuse prevention activities and education to schools, communities, parents, prescribers, and their patients.
- Cooperative Agreement to Benefit Homeless Individuals (CABHI) – 93.243 – purpose is to enhance or develop the infrastructure of states and their treatment service systems to increase capacity to provide accessible, effective, comprehensive, coordinated/integrated, and evidence-based treatment services; permanent housing; peer supports; and other critical services for the following: veterans who experience homelessness or chronic homelessness, and other individuals (non-veterans) who experience chronic homelessness.
- CABHI Enhancement – 93.243 - purpose is an expansion to the CABHI grant above to enhance or develop the infrastructure of states and their treatment service systems to increase capacity to provide accessible, effective, comprehensive, coordinated/integrated, and evidence-based treatment services; permanent housing; peer supports; and other critical services for veterans who experience homelessness or chronic homelessness and other non-veterans who experience chronic homelessness.
- State Youth Treatment Improvement – 93.243 – purpose is to improve access to treatment and support services for youth and young adults ages 16 – 25 that either have, or are at risk of developing a serious mental health condition.
- Supported Employment – 93.243 – purpose is to enhance state and community capacity to provide and expand evidence-based supported employment programs (such as the Individual Placement and Support model) to adults with serious mental illnesses including persons with co-occurring mental and substance use disorders.
- UT Supported Employment Transformation Project - 93.243 - purpose is to strengthen our current employment initiatives by developing and implementing two supported employment programs in the state to full evidence based fidelity. This grant requires participation from DSAMH, VR, DOE, Medicaid, DOL, VA, Commerce and other community partners.
- Assisted Outpatient Treatment - 93.997 - purpose is to implement and evaluate new AOT programs and identify evidence-based practices in order to reduce the incidence and duration of psychiatric hospitalization, homelessness, incarcerations, and interactions with the criminal justice system while improving the health and social outcomes of individuals with a serious mental illness (SMI).
- Utah Zero Suicide Project - 93.243 – purpose is to prevent suicide and suicide attempts for adults 25 and older by supporting state implementation of Zero Suicide and promoting prevention and intervention of suicidal thoughts and behaviors.
- State Targeted Response to the Opioid Crisis - 93.788 – purpose is to address the opioid crisis by increasing access to treatment, reducing unmet treatment needs, and reducing opioid overdose related deaths through the provision, treatment and recovery activities for opioid use disorder (OUD).

- Adult Drug Court Discretionary Grant - 93.788 - purpose is to provide financial and technical assistance to local authorities to maintain drug treatment, mandatory drug testing, sanctions and incentives, and transitional services in a judicially supervised court setting with jurisdiction over nonviolent, substance-abusing offenders.
- Behavioral Health Workforce and Training - 93.243 – purpose is to develop and expand the behavioral health workforce serving populations across the lifespan.

Services for People with Disabilities:

- Social Services Block Grant – 93.667 - exempt per 63J-5-103(2)(e) – funds for support and delivery of social services.
- Utah School to Work Interagency Transition Initiative - 93.631 - funds for Partnerships in Employment Systems Change.

Office of Recovery Services:

- Title IV-D of the Social Security Act - Child Support Enforcement – 93.563 - exempt per 63J-5-103(2)(e) – this grant is for the purpose of enforcing the support obligations owed by noncustodial parents to their children and the spouse (or former spouse) with whom such children are living, locating noncustodial parents, establishing paternity, obtaining child and spousal support, and assuring that assistance in obtaining support will be available under this part to all children (whether or not eligible for assistance under a State program funded under part A of the Social Security Act). It is also intended to avoid or offset costs incurred by the State and the federal government for the care of children.

Office of Public Guardian:

- Social Services Block Grant – 93.667 – exempt per 63J-5-103(2)(e) – funds for support and delivery of social services.

Child and Family Services:

- Title IV-B of the Social Security Act - Child Welfare Services – 93.645 - exempt per 63J-5-103(2)(e) – provides funding for general child welfare services, such as: (a) protecting and promoting the welfare of children; (b) preventing the abuse, neglect, or exploitation of children; (c) supporting at-risk families through services that allow children to remain with their families or return to their families in a timely manner; (d) promoting the safety, permanence, and well-being of children in foster care and adoptive families; and (e) providing training, professional development, and support to ensure a well-qualified workforce.
- Title IV-E of the Social Security Act - Foster Care – 93.658 - exempt per 63J-5-103(2)(e) – provides funding for (1) foster care maintenance to provide safe and stable out-of-home care for eligible children under the jurisdiction of the state child welfare agency until the children are returned home safely, placed with adoptive families, or placed in other planned arrangements for permanency; (2) training for public agency staff, foster parents, and certain private agency staff; and (3) administrative costs to manage the program.
- Title IV-E of the Social Security Act – AFDC Adoption Assistance – 93.659 - exempt per 63J-5-103(2)(e) – provides funding for (1) adoption subsidy costs for eligible children with special needs, primarily children adopted from foster care; (2) training for adoptive parents and public agency staff; and (3) administrative costs to manage the program.
- Promoting Safe and Stable Families – 93.556 - exempt per 63J-5-103(2)(e) (authorized through Title IV-B, Part II, of the federal Social Security Act) – provides funding for community-based family support programs, family preservation, time-limited family reunification activities, and adoption promotion and support services.
- Promoting Safe and Stable Families – Caseworker Visits – 93.556 - exempt per 63J-5-103(2)(e) – provides funding to support monthly caseworker visits to children in foster care, with an emphasis on improving caseworker decision-making on safety, permanency, and well-being of foster children and on activities designed to increase retention, recruitment, and training of caseworkers.
- Family Violence Prevention Services Act – 93.671 - provides funding for shelter and supportive services for victims of domestic violence and their dependents and for public awareness about domestic violence, including funding a statewide domestic violence hotline.

- Independent Living Program – 93.674 - exempt per 63J-5-103(2)(e) – provides funding to help prepare older youth in foster care to transition to living successfully as an adult, and includes a small percentage of funding to support youth who have left foster care up to age 21 with one-time or time-limited resources to support them in successfully living on their own.
- Community Based Child Abuse Prevention – 93.590 - provides funding to reduce child abuse and neglect by providing leadership in statewide prevention efforts and supporting community-based child abuse prevention and family support programs.
- Adoption Incentive Grant – 93.603 - exempt per 63J-5-103(2)(e) – provides funding to qualified states that increased the number of foster child adoptions, special needs adoptions, and older child adoptions in a given year to support adoption of children who cannot safely return home from foster care and to strengthen state child welfare systems. Grant funds may be used for child welfare services that are allowable under Titles IV-E and IV-B of the Social Security Act. Adoption Incentive Grant Funds are provided to states that have qualified for funding due to an increase in the number of children from foster care, special needs adoptions, or adoptions of older children. These funds are provided to states that are performing well as a means to help strengthen their child welfare systems. Since the amount of funding is not predictable, the grant is generally not used to fund large, ongoing program activities.
- Child Abuse Prevention Treatment Act – 93.669 - provides funding for support and improvement of the state's child protective services system.
- Education and Training Voucher – 93.599 - exempt per 63J-5-103(2)(e) – provides financial support for post-secondary education and training for youth who have aged out of foster care at age 18 or older or who are adopted at age 16 or older.
- Social Services Block Grant - Title XX of the Social Security Act – 93.667 - exempt per 63J-5-103(2)(e) – funds for support and delivery of social services.
- TANF Transfer to Social Services Block Grant - 93.667 - exempt per 63J-5-103(2)(e) – Transfer of Temporary Assistance for Needy Families (TANF) funds to the Social Services Block Grant -- funds for support and delivery of social services.

Aging and Adult Services:

- Social Services Block Grant - Title XX of the Social Security Act – 93.667 - exempt per 63J-5-103(2)(e/I) – funds for support and delivery of social services.
- Title III B of the Social Security Act – Support Services – 93.044 - this grant provides funding for *Older Americans Act* supportive services. This includes access (transportation, escort, information & assistance, and outreach), legal, and in-home services (including homemaker, personal care, chore maintenance, telephoning, and visiting).
- Title III C-1 of the Social Security Act – Congregate Meals – 93.045 - this grant provides funding for expenses incurred to prepare and provide congregate meals to seniors.
- Title III C-2 of the Social Security Act – Home Delivered Meals – 93.045 - this grant provides funding for expenses incurred in preparing and providing (including transportation) home delivered meals to seniors.
- Title III D of the Social Security Act – Preventive Health – 93.043 - exempt per 63J-5-103(2)(I) – this grant provides funding for disease prevention and health promotion services such as assessment/screening, education/training, counseling, and physical activity.
- Title III E of the Social Security Act – National Family Caregiver Support – 93.052 - this grant provides services for caregivers or care receivers who are suffering chronic long-term illnesses or conditions where the intensity of such care giving creates stress and other informal relief is not sufficient.
- Title V of the Social Security Act – Senior Community Service Employment Program (SCSEP) – 17.235 - this grant reimburses salary and fringe benefits of individuals 55 years of age and older in a subsidized employment position with training opportunities. SCSEP fosters useful community service through part-time work-based training opportunities for unemployed low-income persons who are 55 years of age or older who have poor employment prospects by assisting them to transition to unsubsidized employment. The program is designed to help participants:

1) develop a sense of personal and occupational identity including defining realistic employment goals, 2) develop sufficient job related knowledge and skills so that they will not be confined to one job but can transfer to another as opportunities for advancement arise, 3) develop personal and social skills needed for successful job performance, and 4) accept and utilize supervision needed for successful job performance.

- Title VII of the Social Security Act – Elder Abuse Prevention – 93.041 - this grant provides for prevention of abuse, neglect and exploitation of older individuals. Services can include advocacy/representation, education/training, supervision, telephoning, visiting, transportation, etc.
- Title VII of the Social Security Act – Ombudsman Services – 93.042 - this grant provides funding for costs of staff or volunteers who are trained and certified to serve as an advocate and handle complaints from residents in long-term care facilities.
- Nutrition Services Incentive Program – 93.053 - exempt per 63J-5-103(2)(I) – cash-in-lieu funds, used to pay for raw foods to prepare congregate and home delivered meals.
- State Health Insurance Information Program – 93.779 - this grant is to assist Medicare beneficiaries with their questions and problems concerning Medicare Parts A & B.
- Medicare Improvement for Patients & Providers Act – 93.071 - this grant provides funding to provide Medicare enrollment assistance.
- Senior Medicare Patrol – 93.048 - this grant provides funding to educate beneficiaries and older consumers in their communities on how to prevent, detect, and report health care fraud, error, and abuse.

APPENDIX D: INTERIM APPROVAL PROCESS FOR FEDERAL GRANTS

Per UCA 63J-5-204, if agencies receive grants after the General Session approval process, these grants may be approved according to the following criteria:

Grants Subject to Approval by the Governor, the Judicial Council, or the State Board of Education

1. Less than \$1 million;
2. Add no permanent employees; or
3. Require a state match less than \$1 million.

Grants Subject to Approval by the Executive Appropriations Committee

4. More than \$1 million but less than \$10 million;
5. Add 1 to 10 permanent employees; or
6. Require a state match up to \$1 million.

Grants Subject to Approval by the full Legislature

1. More than \$10 million;
2. Add 11 or more permanent employees; or
3. Require a state match greater than \$1 million.